

Wagner Planning

ENVISION • PLAN • ACHIEVE

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FINANCIAL PLANNING CHECKLIST

In order to have a better understanding of your current financial situation, please provide as many of the documents listed below as possible.

Income:

Most Recent Pay Stub

Client A Client B

Social Security

Client A Client B

Other (Rental Income/Pension)

Client A Client B

Retirement:

Most Recent Statements for:

Employer Contribution Plans (401(k) / 403(b) / SEP)

Client A Client B

IRA / Roth IRA

Client A Client B

Employer Defined Benefit (Pensions / Profit Sharing)

Client A Client B

Investment:

Most Recent Statements for:

- Annuities – Contract & Statement
- Stock Options Statement / ESPP / ESOP
- Savings / Checking / Certificate of Deposit
- Mutual Fund / Brokerage Account

Other Documents:

- Last 2 Years of Tax Returns
- Monthly Budget
- Will / Trust
- Legal Identification / Driver's License

Insurance Policies:

Contract & Statements for:

- Life
- Long Term Care
- Disability
- Group Insurance

Loans / Debts:

Statements for:

- Mortgage First / Second / Line of Credit
- Auto Loans
- Student Loans
- Credit Cards

Business Documents:

- Articles of Organization
- Operating Agreement
- Balance Sheet and Profit & Loss

Securities offered by Registered Representatives through Private Client Services, Member FINRA/SIPC. Advisory products and services offered by Investment Advisory Representatives through Wagner Planning, a Registered Investment Advisor. Private Client Services and Wagner Planning are unaffiliated entities.

