Wagner Planning

ENVISION • PLAN • ACHIEVE

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FINANCIAL PLANNING CHECKLIST

In order to have a better understanding of your current financial situation, please provide as many of the documents listed below as possible.

STATEMENTS

Income:

Most Recent Pay Stub

- ☐ Client A ☐ Client B

Social Security

- ☐ Client A ☐ Client B

Retirement:

Most Recent Statements

401(k) / 403(b) / TSA

- ☐ Client A ☐ Client B

IRA / Roth IRA

- ☐ Client A ☐ Client B

SEP / SIMPLE

- ☐ Client A ☐ Client B

Pensions /Profit Sharing Plans

- ☐ Client A ☐ Client B

Investment:

Most Recent Statements

- ☐ Annuities - Contract & Statement
- ☐ Stock Options Statement / ESPP/ESOP
- ☐ Savings / Checking / Certificate of Deposit
- ☐ Mutual Fund / Brokerage Account Statements

DOCUMENTS

Other Documents:

- ☐ Last 2 Years of Tax Returns
- ☐ Monthly Budget
- ☐ Will / Trust
- ☐ Legal Identification / Driver's License

Insurance Policies:

Contract & Statement

- ☐ Life
- ☐ Long-Term Care
- ☐ Disability
- ☐ Group Insurance

Loans / Debts:

Statements

- ☐ Mortgage / Second / Line of Credit
- ☐ Auto Loans
- ☐ Student Loans
- ☐ Credit Cards

Business Documents:

- ☐ Articles of Organization
- ☐ Operating Agreement
- ☐ Balance Sheet and Profit & Loss