

# Wagner Planning

ENVISION • PLAN • ACHIEVE

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## FINANCIAL PLANNING CHECKLIST

In order to have a better understanding of your current financial situation, please provide as many of the documents listed below as possible.

### STATEMENTS

#### Income:

Most Recent Pay Stub

Client A     Client B

Social Security

Client A     Client B

#### Retirement:

Most Recent Statements

401(k) /403(b) /TSA

Client A     Client B

IRA / Roth IRA

Client A     Client B

SEP / SIMPLE

Client A     Client B

Pensions /Profit Sharing Plans

Client A     Client B

#### Investment:

Most Recent Statements

Annuities - Contract & Statement

Stock Options Statement /ESPP/ESOP

Savings /Checking /Certificate of Deposit

Mutual Fund /Brokerage Account Statements

### DOCUMENTS

#### Other Documents:

Last 2 Years of Tax Returns

Monthly Budget

Will /Trust

#### Insurance Policies:

Contract & Statement

Life

Long Term Care

Disability

Group Insurance

#### Loans / Debts:

Statements

Mortgage First/Second/Line of Credit

Auto Loans

Student Loans

Credit Cards

#### Business Documents:

Articles of Organization

Operating Agreement

Balance Sheet and Profit & Loss

Securities offered by Registered Representatives through Private Client Services, Member FINRA/SIPC. Advisory products and services offered by Investment Advisory Representatives through Wagner Planning, a Registered Investment Advisor. Private Client Services and Wagner Planning are unaffiliated entities.

