Wagner Planning

ENVISION • PLAN • ACHIEVE

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FINANCIAL PLANNING CHECKLIST

In order to have a better understanding of your current financial situation, please provide as many of the documents listed below as possible.

STATEMENTS

Income:

Most Recent Pay Stub
- ☐ Client A ☐ Client B

Social Security
- ☐ Client A ☐ Client B

Retirement:

Most Recent Statements

401(k) /403(b) /TSA
- ☐ Client A ☐ Client B

IRA / Roth IRA
- ☐ Client A ☐ Client B

SEP / SIMPLE
- ☐ Client A ☐ Client B

Pensions /Profit Sharing Plans
- ☐ Client A ☐ Client B

Investment:

Most Recent Statements
- ☐ Annuities - Contract & Statement
- ☐ Stock Options Statement /ESPP/ESOP
- ☐ Savings /Checking /Certificate of Deposit
- ☐ Mutual Fund /Brokerage Account Statements

DOCUMENTS

Other Documents:
- ☐ Last 2 Years of Tax Returns
- ☐ Monthly Budget
- ☐ Will /Trust

Insurance Policies:

Contract & Statement
- ☐ Life
- ☐ Long Term Care
- ☐ Disability
- ☐ Group Insurance

Loans / Debts:

Statements
- ☐ Mortgage First/Second/Line of Credit
- ☐ Auto Loans
- ☐ Student Loans
- ☐ Credit Cards

Business Documents:
- ☐ Articles of Organization
- ☐ Operating Agreement
- ☐ Balance Sheet and Profit & Loss